



Application Serial No.: 09/748,888  
Amendment and Response to April 8, 2004 Non-Final Office Action

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Patent

GROUP 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GREENE et al.

Application Serial No.: 09/748,888

Filing Date: December 27, 2000

For: SYSTEM AND METHOD FOR  
"SWAPS" STYLE RISK PRODUCTS  
BASED ON NETWORK ENABLED  
AGGREGATION

)  
) Group Art Unit: 3629  
)  
) Examiner: Mooneyham, Janice A.  
)  
) AMENDMENT and RESPONSE to April  
) 8, 2004 Non-Final Office Action  
)  
) IBM Docket No.: YOR9-2000-0304  
) Attorney Docket No.: 101.088  
)  
) Buckley, Maschoff & Talwalkar LLC  
) Five Elm Street  
) New Canaan, CT 06840  
) (203) 972-0006  
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 1, 2004

Dated: July 1, 2004

By:

  
Edith Martin

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed April 8, 2004, please amend the above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.



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GROUP 3600

3629/41

Patent

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GREENE et al.

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For: SYSTEM AND METHOD FOR  
"SWAPS" STYLE RISK PRODUCTS  
BASED ON NETWORK ENABLED  
AGGREGATION

)  
) Group Art Unit: 3629

)  
) Examiner: Mooneyham, Janice A.

)  
) Response Transmittal

)  
) IBM Docket No. YOR920000304

) (Attorney Docket No.: I01.088)

)  
) PTO Customer Number 28062

) Buckley, Maschoff & Talwalkar LLC

) Five Elm Street

) New Canaan, CT 06840

) (203) 972-0006

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Edith Martin

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☒ Amendment/Response
2. ☒ Additional Enclosures: Acknowledgement Postcard

# FEE CALCULATION

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	12	- 27	0	\$ 18.00	\$ 0.00
Indep. Claims	3	- 3	0	\$ 86.00	\$ 0.00
Multiple Dependent Claims (If applicable, please add \$ 290.00)					\$ 0.00
No Petition for Extension of Time is Required					\$ 0.00
OTHER FEE (specify purpose):					\$ 0.00
TOTAL FILING FEE					\$ 0.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.17.

Respectfully submitted,



July 1, 2004

Date

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Nathaniel Levin  
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